

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) & (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications

PATENT  
APPLICATION

**RULE 53(f) NO DECLARATION**

Assistant Commissioner of Patents  
and Trademarks  
Washington, DC 20231

Atty. Dkt.

PM 273965

M#

P8412

Client Ref

Date:

September 25, 2000

September 24, 2000 = Sunday

Sir:

1. This is a Request for filing a new Patent Application(☐ Design ☒ Utility) entitled:

2. (Complete) Title: **PORTABLE PERSONAL AUTHENTICATION APPARATUS AND ELECTRONIC SYSTEM TO WHICH ACCESS IS PERMITTED BY THE SAME**

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).

4. 24 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language

6. 26 Numbered claim(s); and

7. ☒ Drawings: 4 sheet(s) ☐ 1 set informal; 8. ☒ formal of size: ☒ A4 ☐ 11"

9. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

Application No.	Filing Date	Application No.	Filing Date
(1) 11-271022	September 24, 1999	(2)	
(3)		(4)	
(5)		(6)	

11. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / \_\_\_\_\_ filed on \_\_\_\_\_

12. ☐ This is a reissue of Patent No. \_\_\_\_\_

13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete corresponding item 14 or 15.)

14. ☐ **Amend the specification** by inserting before the first line -- This is a ☐ Continuation-in-Part  
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:

14(a) ☐ National Appln. No. \_\_\_\_\_ / \_\_\_\_\_ filed \_\_\_\_\_ -- (M# \_\_\_\_\_)

14(b) ☐ International Appln. No. PCT/ \_\_\_\_\_ filed \_\_\_\_\_ which designated the U.S. --

15. ☐ **Amend the specification** by inserting before the first line: --This application claims the benefit of U.S. Provisional Application No. 60/ \_\_\_\_\_, filed \_\_\_\_\_ --

16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed

17. ☐ Prior application is assigned to

by Assignment recorded \_\_\_\_\_ Reel \_\_\_\_\_ Frame \_\_\_\_\_

18. ☐ Attached:

19. This application is made by the following named inventor(s) (Double check instructions for accuracy.):

(1) Inventor	Takashi		SAWAGUCHI
	First	Middle Initial	Family Name
Residence	Tokyo	Japan	Japan
	City	State/Foreign Country	Country of Citizenship
Post Office Address	3-40-13 Takadanobaba, Shinjyuku-ku, Tokyo, Japan		
(include Zip Code)			

(2) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

(3) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

(4) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

(5) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

20. NOTE: FOR ADDITIONAL INVENTORS, check box ☐ and attach sheet with same information regarding additional inventors.

**Pillsbury Madison & Sutro LLP  
Intellectual Property Group**

1100 New York Avenue, NW.  
Ninth Floor  
Washington, DC 20005-3918  
Tel: (202) 861-3000  
Atty/Sec: GJP/nmw

By: Atty: Glenn J. Perry

Sig:

Reg. No. 28458

Fax: (202) 822-0944  
Tel: (202) 861-3070

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments